

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/755,971	01/05/2001	Cheng Yang	342818020US

CONFIRMATION NO. 5152

25096  
PERKINS COIE LLP  
1201 3RD AVENUE, SUITE 4800  
SEATTLE, WA 98101-3099

FORMALITIES LETTER



\*OC000000005966268\*

Date Mailed: 04/13/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

07/18/2001 GTEFFERA 00000100 500665 09755971

Filing Date Granted

01 FC:201	355.00 CH
<del>02 FC:202</del>	<del>40.00 CH</del>
03 FC:203	702.00 CH
04 FC:205	65.00 CH

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1102.
  - \$702 for 78 total claims over 20.
  - \$400 for 10 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1522.

Void date: 07/18/2001 GTEFFERA  
~~07/18/2001-GTEFFERA 00000100 500665 09755971~~  
~~02 FC:202 40.00 CH~~

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

07/18/2001 GTEFFERA 00000129 500665 09755971

01 FC:202 400.00 CH

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*A copy of this notice MUST be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Box Missing Parts, Commissioner for Patents, Washington, DC 20231.

7/13/01 Jeanne Connelly  
Date Jeanne Connelly

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Usama Fayyad et al.  
Application No. : 09/755,971 Confirmation No.: 5152  
Filed : January 5, 2001  
For : IDENTIFYING AND REPORTING ON FREQUENT  
SEQUENCES OF EVENTS IN USAGE DATA  
Docket No. : 342818020US  
Date : July 13, 2001

Box Missing Parts  
Commissioner for Patents  
Washington, DC 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Sir:

In response to the Notice to File Missing Parts dated April 13, 2001, please find enclosed a Declaration, Power of Attorney, Authorization for Extensions of Time Under 37 CFR § 1.136(a)(3), and a copy of the Notice to File Missing Parts for the above-identified application.

An Information Disclosure Statement is enclosed along with Form PTO/SB/08A and the cited references.

Please find enclosed a Petition for an Extension of Time, extending the time for response from June 13, 2001 to July 13, 2001.

Also enclosed is a Transmittal of Formal Drawings (Figures 1A-6).

Applicants claim small entity status.

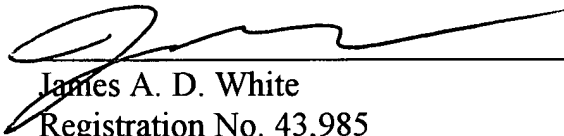
The fees have been calculated as follows:

Basic Fee	\$	355.00
Total Claims (98, 78 extra)		702.00
Independent Claims (13, 10 extra)		400.00
Missing Parts Surcharge		65.00
Total	\$	1522.00
Extension-of-Time Fee		55.00
TOTAL	\$	1577.00

The Commissioner is hereby authorized to charge the fees of \$1577.00, and any additional filing fees or to credit any overpayment to Deposit Account No. 50-0665. A duplicate copy of this response is enclosed.

Respectfully submitted,

Perkins Coie LLP

  
James A. D. White  
Registration No. 43,985

JDW:jc

Enclosures:

Postcard  
Copy of this Response  
Declaration  
Power of Attorney (+ copy of Assignment)  
Authorization for Extensions of Time Under 37 CFR § 1.136(a)(3)  
Petition for Extension of Time (+ 2 copies)  
Information Disclosure Statement  
Cited References ( 6 )  
Transmittal of Formal Drawings (Figures 1A-6)  
Copy of Notice to File Missing Parts

PERKINS COIE LLP  
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PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to the Commissioner for Patents, Washington, DC 20231.

Date

7/13/01

Jeanne Connelly

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Applicants : Usama Fayyad et al.  
Application No. : 09/755,971 Confirmation No.: 5152  
Filed : January 5, 2001  
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OF EVENTS IN USAGE DATA

Docket No. : 342818020US

Date : July 13, 2001

Commissioner for Patents  
Washington, DC 20231

TRANSMITTAL OF FORMAL DRAWINGS

Sir:

Enclosed please find 31 sheets of formal drawings (Figures 1A-6) for the above-identified application.

Respectfully submitted,  
Perkins Coie LLP

James A. D. White  
Registration No. 43,985

JDW:jc

Enclosures:

Figures 1A-6

PERKINS COIE LLP  
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